

Dietary Links to Alzheimer's Disease

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Summary

Recent findings that elderly African-Americans and Japanese living in the United States have much higher prevalence of Alzheimer's disease (6.24% and 4.1%, respectively) than those still living in their ethnic homelands (<2%) suggested that environmental rather than genetic factors are the primary agents causing Alzheimer's disease. Recent papers linking clinical expression of Alzheimer's disease to oxidative stress and cerebral infarct suggest that diet is a key factor in the development of Alzheimer's disease. To test this hypothesis, regression analyses were performed on the prevalence of Alzheimer's disease in the 65+ age population for 11 countries obtained from 18 community-wide studies versus components of the national diets. The primary findings are that fat and total caloric supply have the highest correlations with Alzheimer's disease prevalence rates ($r^2 = 0.932$ and 0.880 , respectively). In addition, fish consumption is found to reduce the prevalence of Alzheimer's disease in the European and North American countries. The literature suggests that fat contributes to oxidative stress and inflammation and that fish oils combat inflammation. Recent papers finding that several dietary components and supplements have been found effective in delaying the onset of Alzheimer's disease, including antioxidants, fish, and nonsteroidal anti-inflammatory drugs are consistent with this finding.

Key words caloric intake, fat, fish oil, inflammation, nutrition, oxidative stress

Alzheimer's disease (AD) is a common type of dementia or decline in intellectual function first described by A. Alzheimer [1907]. Some of the characteristics include intraneuronal fibrillary tangles, diffuse, neuritic and burned-out plaques and neuronal loss [Harman, 1995; Lippa et al., 1996].

Epidemiological studies have not identified causal factors for Alzheimer's disease as seen in a number of reviews [Heyman et al., 1984; Mortimer and Hutton, 1985; Rocca et al., 1986; Schoenberg, 1986; Broe et al., 1990; Beard et al., 1992; Breteler et al., 1992; White, 1992; Disterhoft et al., 1994; Katzman and Kawas, 1994; Prince et al., 1994; Keefover, 1996; van Duijn, 1996]. However, recently several orally ingested substances have been found to delay the onset or progression of AD: estrogen [Tang et al., 1996b]; non-steroidal antiinflammatory drugs (NSAIDs) [Stewart et al., 1997]; and vitamin E [Sano et al., 1997].

In the 1980s, one of the common hypotheses for the cause of AD was exposure to environmental aluminium. Aluminium is well known to have neurotoxic properties [Bolla et al., 1992; Martin, 1994]. Many studies have shown associations between elevated exposure to aluminum and Alzheimer's disease prevalence [e.g., Doll, 1993; Forbes and McLachlan, 1996]. Savory et al. [1996] reviewed the

controversy surrounding the role of aluminium in AD, and suggested six basic questions which would have to be addressed to resolve the issue. Thus, while aluminum may not cause AD in and of itself, it seems to be associated with AD often enough that it may be involved in the development of AD.

Genetic factors play a role in the development of AD, especially in early-onset (familial) AD, which accounts for 5-10% of all AD [Prince et al., 1994; Farlow et al., 1994; Breitner and Welsh, 1995; Harman, 1995; Fox et al., 1996; Gridley, 1996; Levy-Lahad and Bird, 1996; Selkoe, 1996; Tang et al., 1996a; van Duijn, 1996; Blacker et al., 1997; Lendon et al., 1997], but also late-onset (sporadic) AD, where apolipoprotein-e4 allele is a known risk factor [Davis et al., 1997]. (See Lippa et al. [1996] for a comparison of the two types of AD.) However, while genetic factors may increase the risk of developing AD, there is no genetic model which can account for the geographical distribution of AD prevalence, and a number of recent papers have conceded that both environmental and genetic factors may be involved in AD [e.g., Lippa et al., 1996; Martin and Kukull, 1996; Evans et al., 1997; Morrison-Bogorad et al., 1997].

Recent papers published on African-Americans in Indianapolis [Hendrie et al., 1995] and on Japanese living in the state of Washington [Graves et al., 1996] and Honolulu [White et al., 1996] reported these groups had much higher AD prevalence rates than those still living in their ethnic homelands. For the African-Americans, the AD prevalence

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Table 1. Alzheimer's disease and senile dementia studies used in the statistical analysis. Taiwan is included in the table, but not used in the analysis

| Location | AD (%) | SD (%) | Year | Reference |
|-------------------|--------|----------|---------|--------------------------|
| Canada | 5.1* | 6.74 | 1992 | Lindsay et al., 1994 |
| China | | | | |
| Beijing | 0.7* | 3.2 | 1986 | Li et al., 1989 |
| Beijing | 1.8* | 3.2 | 1986 | Kua, 1991 |
| Beijing | 1.1* | 3.56 | 1989 | Shen et al., 1994 |
| Europe | | | | |
| Finland | 3.6* | 6.7 | 1977-81 | Sulkava et al., 1985 |
| Appignano, Italy | 3.9 | 6.3 | 1987 | Rocca et al., 1990 |
| Zaragoza, Spain | 4.1* | | 1987 | Lobo et al., 1990 |
| Stockholm, Sweden | 3.2* | 6.1 | 1987 | Fratiglioni et al., 1991 |
| Japan | | | | |
| Japan | 2.4 | 5.8 | 1983 | Shibayama et al., 1986 |
| Kanagawa | 1.8* | 4.6 | 1983? | Hasegawa et al., 1986 |
| Miki town | 1.8 | | 1986? | Fukunishi et al., 1991 |
| Hisayama | 1.5 | 6.7 | 1985 | Ueda et al., 1992 |
| Nigeria | 1.4* | 2.29 | 1993 | Hendrie et al., 1995 |
| Singapore | 1.9* | 2.8 | 1985 | Kua, 1991 |
| Taiwan | | | | |
| Rural Taiwan | 2.6 | 2.7 | 1983 | Lin et al., 1984 |
| Taipei | 0.6 | 1.7 | 1987 | Rin et al., 1987 |
| Taiwan | 1.2 | 2.0 | 1988-89 | Liu et al., 1995 |
| Taiwan | 2.3 | 2.8 | 1992 | Liu et al., 1994, 1995 |
| United Kingdom | | | | |
| Cambridge | 4.4* | 7.7 | 1985 | O'Connor et al., 1990 |
| USA | | | | |
| Honolulu, HI | 4.7* | 7.6/10.3 | 1992 | White et al., 1996 |
| Indianapolis, IN | 6.24* | 8.24 | 1993 | Hendrie et al., 1995 |
| King County, WA | 3.5* | 6.3 | 1993 | Graves et al., 1996 |

* adjusted using the U.S. age distribution (65-74 years - 60%, 75-84 years - 30%, 85+ years - 10%) in the original text or in this work.

rate for individuals over 65 years old was 6.24%, while for Africans living in Ibadan, Nigeria, the rate was 1.41% [Hendrie et al., 1995]. For Japanese living in Washington, the AD prevalence was 3.5%, while for Japanese men living in Honolulu, the prevalence rate was 4.7%. The two Japanese studies average to give 4.1%; the average of four studies of AD prevalence rate in Japan was 1.88% (see Table 1). These findings suggest that the prevalence of AD is more strongly influenced by diet and nutrition, environment, and/or lifestyle, than by genetics.

There is a large body of literature on life extension [e.g., Pearson and Shaw, 1982; Walford, 1986; Harman, 1994; Walford and Walford, 1994; Barnard, 1995; Hayflick, 1996; Hitchcox, 1996 and references therein]. Most of this literature stresses the importance of caloric restriction, as well as proper nutrition. Much of this work is based on the idea that oxidative stress is the major cause of lifespan shortening. Harman wrote his seminal paper on this topic in 1956 [Harman, 1956].

Recent studies discuss the role of oxidative stress in AD. Several reports [e.g., Clausen, 1984; Harman, 1994, 1995; Smith

and Perry, 1995; Butterfield, 1996; Hensley et al., 1996; Smith et al., 1996a, 1997a,b] suggest that oxidative stress is linked to AD. In addition, the studies by Hulette et al. [1997 and Snowdon et al. [1997] indicated that clinical expression of AD is facilitated by cerebral infarction or stroke. Since the risk of stroke is generally enhanced by such dietary factors as high fat consumption and trace mineral imbalance [Carper, 1993; Shinton et al. 1995], this finding provided further impetus to the present study.

Meta-analysis of community-based epidemiological studies of Alzheimer's disease prevalence

In order to examine the possible role of diet in the incidence and prevalence of AD, the meta-analysis approach [Hunt, 1997] on epidemiological community-based studies with respect to the diet of the country near the time of the study was chosen. A survey of the literature on AD failed to find any detailed consideration of what the relationship might be between diet with respect to the community-based studies of AD prevalence rates. Several researchers had proposed cross-cultural studies as way to study the causes of AD [e.g., Jorm, 1991; Osuntokun et al. 1992; White, 1992]. The reports by Hendrie et al. [1995] and White et al. [1996] discussed above are outgrowths of those suggestions.

The decision on which studies to include and which to omit was carefully considered. Of the more than one hundred studies of the community rate of dementia [see the reviews in: Rocca et al., 1986; Schoenberg et al., 1986; Shibayama, et al., 1986; Jorm et al., 1987; Jorm, 1991; Bachman et al., 1992; Hébert and Brayne, 1995; Liu et al., 1995; Keefover, 1996], only 18 passed tests enabling them to be included in the analysis presented in this paper. The tests included: 1 - that the 65+ age AD prevalence rate was given or could be calculated from the data presented. If only undifferentiated dementia prevalence rates were given, the study was not included because it is too difficult to determine the fraction who have AD [e.g., Breteler et al., 1992; Hébert and Brayne, 1995; Liu et al., 1995]; 2 - that those living in the community as well as those living in institutions be included in the study; 3 - that the studies be conducted after 1979 for two reasons: a - people with AD are living longer now, due to better medical care [Beard et al., 1992]; and b - the protocols for determining who in the community has AD changed in 1980 with the adoption of the DSM-III standard test [Am. Psychiatr. Assoc., 1980]; and 4 - that the dietary factors for the country can be readily determined. Those studies included are shown in Table 1, which includes the AD prevalence rate, the year of the study, and the reference.

Since the incidence of AD increases with a 5.1-year doubling time for AD [Jorm et al., 1987], and since the lifespan in some of the developing countries is several years lower than in the U.S., it is important that the age-adjusted AD prevalence data

be normalized in the same manner. The easiest approach is to use the U.S. age distribution of those over 65 (65-74, 60%; 75-84, 30%; 85+, 10%; Riggs [1996]). This has been done for the AD prevalence data designated with an asterisk. The original data for three studies in Japan were not available, but since the life expectancy there in 1992 was 79 years versus 76 years in the U.S. [Wright, 1995] that shouldn't matter. The same can be said about Finland, where the life expectancy was also 76 years.

There is some question regarding the AD prevalence rate determined for Nigeria, even though the data by Hendrie et al. [1995] were age-adjusted to the age distribution in the U.S. The mortality rates for those with AD in Nigeria are higher than those elsewhere because of poorer treatment for such other diseases as bronchopneumonia. Thus, the reported 1.4% prevalence is probably low when survival after developing AD is considered. On the other hand, Osuntokun et al. [1992] earlier reported no AD in Nigeria. The discrepancy between the two studies may be in the fact that one was an urban, the other a rural population study. A parallel situation can be found in the study of rheumatoid arthritis (RA) prevalence. Rural Africans have very low prevalence while urban Africans and African Americans have much higher RA prevalence [Silman et al., 1993]. Thus, the discrepancy between the two Nigerian studies may well be due to different diets in urban and rural regions. For this study, the value of 1.4% will be used, with the presumed lower prevalence in rural areas balancing the lower life expectancy after developing AD.

Some well-known studies were not included in the analysis because they did not satisfy the criteria used in this study. For example, the East Boston study [Evans et al., 1989] measured the prevalence of AD only for people living in the community, and has been criticized for classifying nearly all cases of dementia as probable senile dementia of the Alzheimer's type (SDAT) when most studies in the developed countries find a value more like 50-60% of AD cases belonging to the SDAT category [Jorm, 1991; Bachman et al., 1992; Graves et al., 1996]. The Framingham, Ma. Study [Bachman et al., 1992] screened out people who had a stroke or heart problem prior to consideration. The Shanghai study [Zhang et al., 1990] sampled only noninstitutionalized community residents.

Having said this, there is still the question of whether the meta-analysis approach with data from diverse countries is appropriate for AD because of differences in methodology, life expectancies, genetic differences, confounding variables etc. The strongest reason for affirming this approach is that three studies comparing the AD prevalence rates for people with the same ethnic background living in the U.S. and in their native land showed such marked differences. Hendrie et al. [1995] showed that African-Americans liv-

ing in Indianapolis had a 6.24% AD prevalence versus 1.4% for Africans living in Ibadan, Nigeria. Graves et al. [1996] found 3.5% AD prevalence for Japanese living in the state of Washington, while White et al. [1996] found that Japanese men living in Hawaii had a 4.7% AD prevalence. These two results are in sharp contrast to four studies showing that Japanese living in Japan had a mean AD prevalence of 1.88% in the mid-1980s. While commenting on some of the methodological problems encountered in doing cross-cultural studies such as White's, Martin and Kukull [1996] could not find any fundamental problem with them.

In order to satisfy the requirement that the data points used be independent, values for each country were averaged if the total caloric supply was nearly the same for each AD prevalence determination. This step reduced the number of data points to 11. The data for Taiwan are included in Table 1 to support the finding of low AD prevalence rates for other parts of southeast Asia, as well as the idea that community-based AD prevalence rate determinations can be reasonably consistent. However, they are not used in the meta-analysis because dietary data for Taiwan are not available.

The Japanese-American and African-American values were combined to give an AD prevalence value for the U.S. as a whole. The AD prevalence values for Japanese-Americans are probably lower than for most other Americans for two reasons: 1 - men generally have lower AD prevalence than women; and 2 - Japanese living in the United States convert slowly from the traditional Japanese diet to the standard American diet [Tillotson et al., 1973]. On the other hand, the AD prevalence for African Americans are probably higher than for other Americans. Heyman et al. [1991] showed that blacks in the North Carolina Piedmont region have more prevalence of chronic degenerative diseases (CDDs) that are traditionally linked to diet than do Caucasians, suggesting that they have diets more prone to cause such CDDs. The two Japanese-American studies were combined into one value, then averaged with the African-American value to yield an AD prevalence value for the U.S. of $5.17 \pm 1.07\%$.

Since both AD and non-AD senile dementia (SD) now seem to be affected by cerebral infarcts [Hulette et al., 1997; Snowdon et al., 1997], it seemed likely that they might have the same dietary links. Thus, the rates for total prevalence of SD, which includes AD, are also included in the meta-analysis for those studies used in the AD meta-analysis.

For diet/nutrition, the *Food Balance Sheets* published by The Food and Agriculture Organization (FAO) of the United Nations [FAO, 1991] is used. The FAO regularly publishes a detailed summary of total caloric supply, as well as for many components of the diet for 145 countries as they

Table 2. Dietary per capita supply values used in the correlation analysis from 4 years prior to the AD prevalence studies.

| Country | Year | Total food (cal) | Fat (g) | Fish (cal.) | Cereals (cal.) |
|-----------|------|------------------|---------|-------------|----------------|
| Canada | 1988 | 3451 | 152 | 33 | 705 |
| China | 1983 | 2550 | 36.5 | 11 | 1826 |
| Finland | 1976 | 3130 | 133 | 50 | 728 |
| Italy | 1983 | 3485 | 133 | 29 | 1124 |
| Japan | 1981 | 2797 | 76.5 | 175 | 1235 |
| Nigeria | 1988 | 2230 | 42.4 | 8 | 934 |
| Singapore | 1981 | 2720 | 75 | 54 | 1038 |
| Spain | 1983 | 3360 | 142.5 | 50 | 823 |
| Sweden | 1987 | 3030 | 131 | 63 | 628 |
| UK | 1981 | 3200 | 136 | 23 | 655 |
| USA | 1988 | 3658 | 163.5 | 26 | 718 |

existed in the mid-1980s. The values represent food available to the consumer, and do not take into account losses due to spoilage, wastage etc. Thus, they overestimate the amount actually consumed. The most recent edition has information in three-year periods from 1961-1988. However, this is the only way to make international comparisons, and the loss factors may be similar for different countries. These values can be considered an index of consumption. U.S. Dept. of Commerce [1994] was used to extend the U.S. data base to 1992, and Wright [1995] was used to extend the Nigerian data base to 1992. The dietary values used in the analysis presented in this paper are given in Table 2. Values for components not found to be significant are omitted for simplicity.

The analysis presented here uses the caloric or fat supply for the period approximately 4 years prior to the study. To determine the optimal period to use, regressions were run on a 11-country data set for 1-2 year periods ranging from the study to 8 years after the start, and in coarser intervals out to 15 years prior to the study. The regressions for total caloric supply peaked for the period 3-4 years prior to the study, while that for fat peaked in the year of the study. Similar results were obtained from the Rochester, Minnesota, longitudinal study, discussed below. Diets do change with time (most of the countries have increasing caloric supply for the 5 years prior to the study period, but some have little change, and some actually have declining caloric supply). The dietary values 4 years prior to the study were used in most of the analysis, since it seems reasonable that prevalence data would be most strongly affected no more recently than about half the average remaining lifetime for those diagnosed with AD. The current half-life for such people is about 4-6 years in the U.S. [Beard et al., 1994; Stern et al., 1997], although it may be lower in other countries where medical care might not be as intensive.

To determine the most important variables relating to the prevalence of AD, multiple linear regressions were run with two or more dietary components and the significance fac-

Table 3. Regression coefficients (r), coefficients of determination (r²), F, and significance (p) between components of the diet and Alzheimer's disease and senile dementia for community-based studies for the 65+ age population.

| Component | r | r ² | F | p |
|-----------------------------------|--------|----------------|-------|--------|
| <i>Alzheimer's disease</i> (N=11) | | | | |
| fat | 0.966 | 0.932 | 124.1 | <0.001 |
| total food | 0.938 | 0.88 | 65.7 | <0.001 |
| %cereals | -0.831 | 0.69 | 20.1 | 0.002 |
| %cereals (China deleted) | -0.876 | 0.768 | 26.5 | <0.001 |
| <i>Senile dementia</i> (N=10) | | | | |
| fat | 0.908 | 0.825 | 37.8 | <0.001 |
| total food | 0.886 | 0.785 | 29.2 | <0.001 |
| %cereals | -0.728 | 0.53 | 9.0 | 0.017 |
| %cereals (China deleted) | -0.772 | 0.596 | 10.3 | 0.015 |

tors, p, for each component were noted. Only total fat supply and total caloric supply had consistently low values. Part of the reason that some of the other components did not have high significance is likely due to the fact that they are relatively minor fractions of the diet. However, they may still play roles in the development of AD, as will be discussed later. Cereals were also found to have a significant inverse correlation with AD prevalence.

The statistical findings for fat supply, total food supply, and cereals for both AD and SD are given in Table 3. Fat has the highest correlation with both AD and SD prevalence [see, also, Kalmijn et al., 1997]. Regression analyses for total senile dementia give similar findings to those for AD, but are somewhat weaker. There is more spread in the data about the regression line. This probably arises from the fact that the criteria for determining who has senile dementia, which is based in part on the severity, varies from study to study. The tests for SD do not seem to be as rigorously defined as for AD, as shown, for example, by the two values given in the study by White et al. [1996].

A plot of the AD prevalence vs. fat is shown in Figure 1. The analysis for fat shows a threshold of 6.5 grams and a slope of 0.312%/10 g of fat. Further details are found in Table 3.

A plot of the AD prevalence vs. total caloric supply is shown in Figure 2 for the 11-country data set. From this analysis, the threshold determined for prevalence is 2000 calories, and the slope is 0.309% per 100 calories above the threshold. The high correlation between total caloric supply and AD prevalence is probably due to the fact that many of the concentrated foods found in high-caloric diets lead to acidic digestive systems and free radical production.

A significant inverse correlation was found between the fraction of calories derived from cereals and AD prevalence. While whole grains have antioxidant vitamins and minerals, it is not clear that the cereals generally consumed are

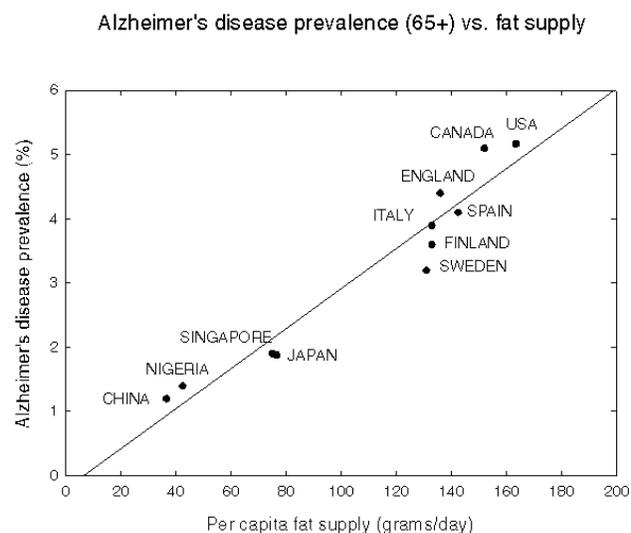


Figure 1. Scatter plot of Alzheimer's disease prevalence versus fat supply for 11 countries, along with the linear regression fit to the data (AD prevalence rate = $-0.203 + (0.0312 * \text{fat (grams/day)})$).

whole grains. The correlation is likely to arise from the fact that countries with low fat supply have high cereals supply, rather than any direct therapeutic effect of the cereals. This point should be investigated in greater depth.

While these results seem reasonable, there is the concern that the Asian and African countries have enough differences with respect to European and North American countries, such as age distribution, life expectancy after developing AD, genetic differences, vastly different diets, different physical exertion norms etc., that they may skew the results. While one normally keeps all data in a meta-analysis unless there is a very good reason to exclude it, it seemed worthwhile to redo the statistical analysis on the European/North American subset of the data. The results of doing so are given in Table 4. What is found is that fat is still highly associated with AD, but total calories decrease in association and significance. Cereals drop to an insignificant association. Fish, however, become almost significant at the 95% confidence level for an inverse association. When a multiple linear regression is run with fat and fish, the highest association and significance is achieved. The equation

Table 4. Regression coefficients (r), coefficients of determination (r^2), F, and significance (p) between components of the diet and Alzheimer's disease for 7 European and North American countries for the 65+ age population.

| Component | r | r^2 | F | p |
|----------------|--------|-------|-------|-------|
| fat | 0.894 | 0.8 | 20 | 0.007 |
| total calories | 0.789 | 0.623 | 8.3 | 0.035 |
| fish | -0.746 | 0.556 | 6.3 | 0.054 |
| % cereals | -0.353 | 0.125 | 0.125 | 0.437 |
| fat + fish | 0.968 | 0.937 | 29.9 | 0.004 |

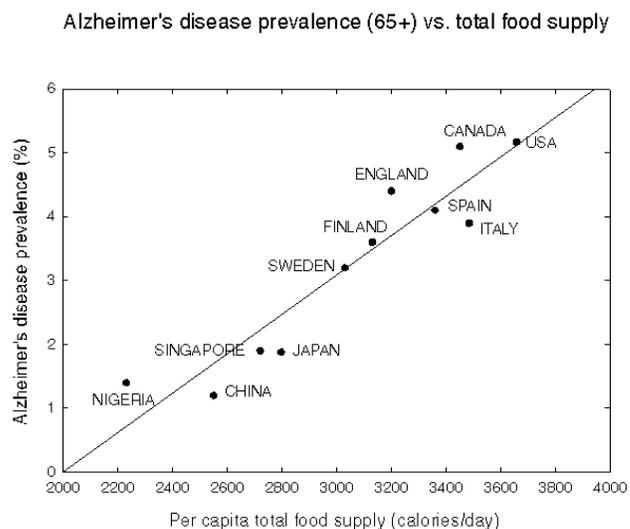


Figure 2. Scatter plot of Alzheimer's disease prevalence versus total food supply for 11 countries, along with the linear regression fit to the data (AD prevalence rate = $-6.178 + (0.00309 * (\text{total calories/day}))$).

for AD prevalence as a function of fat and fish for the 7-country study is AD prevalence = $-1.009 + 0.0425 * \text{fat (grams/day)} - 0.0203 * \text{fish (calories/day)}$. In other words, 1 calorie of fish counters the effects of 0.48 grams of fat or approximately 4.3 calories of fat.

Thus, for relatively genetically homogeneous populations with similar diets, somewhat different statistical associations are found. This analysis adds further support to the 11-country analysis and other studies [e.g., Harman, 1995, 1996; Smith et al., 1997a; Kalmijn et al., 1997; Sano et al., 1997] on the likely role of fat in being highly associated with AD, and to the findings by Kalmijn et al. [1997] that fish in the diet reduces the expression of cognitive impairment and Stewart et al. [1997] that inflammation is involved. The lower significance of calories in the diet is also consistent with the recent finding by Rexrode et al. [1997] that obesity per se is not correlated with hemorrhagic stroke.

Fish and fish oil

Kalmijn et al. [1997] showed that increasing fish in the diet reduced the risk of developing dementia, while linoleic acid increased it. Kim et al. [1995] showed that fish oil (eicosapentaenoic acid (EPA; n-3)) may reduce the amount of adhesion in the blood, while Harris [1997] showed that fish oil reduces blood triacylglycerol, and that plant-derived n-3 fatty acid is not equivalent to marine-based acids in its effect on humans.

Alzheimer's disease incidence study

Additional support for total caloric supply and fat directly affecting AD rates comes from the Rochester, Minnesota, longitudinal study [Kokmen et al., 1996a]. Figure 1 in that

work gives the AD incidence rate for 10-year age ranges for five 5-year periods from 1960 to 1984. The values can be converted to incidence rates for those over the age of 65 by assuming the U.S. population distribution [Riggs, 1996]. Doing so results in the values given in Table 5. Average U.S. total food supply for the periods are taken from FAO [1991] and U.S. Dept. of Commerce [1975]. When the total daily per capita caloric supply from the period of the study is used, a regression analysis yields an r^2 value of 0.75, with $p = 0.06$. However, when the total caloric supply for the previous five-year period is used, r^2 jumps to 0.91, and p decreases to 0.012. For fat, the r^2 for the current period is 0.65, with $p=0.100$, while for the previous period, $r^2 = 0.89$ with $p=0.016$. Based on the dietary values in the U.S. in the late 1950s, 3190 calories and 137 g of fat, the estimated AD prevalence in the early 1960s are 3.7% based on calories and 4.1% based on fat. These results should be considered supporting evidence for the role of both total caloric and fat supply in the incidence/prevalence of AD, and that the older one becomes, the more important it is to reduce fat and calories in the diet.

Cross-correlation of AD with other chronic degenerative diseases

Additional support for the roles of fat and total caloric supply in causing AD can be found by investigating the cross correlations between dementia and other diseases. For this, the prevalence rates for males and females of all ages for the 8 major geopolitical regions of the world are used [Murray and Lopez, 1996a]. The dementia data (Table 6) are primarily due to AD, although other degenerative and hereditary central nervous system disorders are also included. Using the data for all ages in Murray and Lopez [1996a] overcomes the problem of age distributions in the various countries and regions since age is essentially normalized out of the analysis, i.e., if a disease affects primarily older people, it should exist at high rates only in regions with relatively high proportions of older people. Over

Table 5. Alzheimer's disease incidence rates, age-adjusted for the 65+ population from the Rochester, Minnesota longitudinal study [Kokmen et al., 1996], along with average U.S. total food supply levels for those periods.

| Years | Average U.S. | |
|-----------|--------------------|-----------------------------------|
| | AD incidence rate* | total food (cal) ** total fat (g) |
| 1955-1959 | | 3200 138.5 |
| 1960-1964 | 1.99 | 3211 140.4 |
| 1965-1969 | 1.71 | 3300 146.9 |
| 1970-1974 | 2.24 | 3392 152.6 |
| 1975-1979 | 3.21 | 3453 154.3 |
| 1980-1984 | 3.17 | 3517 160.9 |

* per 100,000 person years

** FAO[1991] and U.S. Dept. of Commerce [1975], mid-period

30 diseases and causes of death were examined in this regard. The infectious diseases were not included in the analysis. Twenty one were found to have statistically-significant ($p<0.05$) correlations with dementia for at least one sex, while 16 were found to be significant for both sexes, if applicable. Those not found to be statistically significant include cancers of the cervix, liver, and stomach, which are likely caused by either behavior or specific components of the diet [Weisburger and Williams, 1995]. Those for which high correlations were found are listed in Table 6, along with current thinking on the causes of the disease. The probable causes for most of the diseases include animal fat, total fat, total caloric consumption, low fiber consumption, salt, and smoking. It appears that the diet is the primary cause of all of these diseases, and that which particular disease each person develops depends to some extent on his own genetic makeup.

Alzheimer's disease prevalence in the US

A value of 5.1% AD prevalence for those 65 or older in the U.S. can be determined based on a combination of the regression relationships for 166 g of fat in 1992 ($4.97\pm 0.40\%$), the total per capita food supply level of about 3700 calories in 1992 ($5.26\pm 0.53\%$), and the three most recent U.S. community-based AD prevalence studies [Hendrie et al., 1995; Graves et al., 1996; White et al., 1996] ($5.17\pm 1.07\%$). These average to $5.1\pm 0.6\%$. Based on the age distribution of the U.S. population 65+ in 1994 [U.S. Bureau of the Census, 1996], this corresponds to a $0.87\pm 0.10\%$ probability of having AD at the age of 65, with the probability increasing (decreasing) by a factor of 1.146 for each additional (fewer) year(s) of age [Jorm, 1987]. This gives 1.7 ± 0.2 million victims of AD 65 years and older, and 0.4 ± 0.1 million under 65 years of age, or a total of 2.1 ± 0.3 million in the U.S. in 1994. From the analysis in this paper, another 1.1 million are thought have other forms of senile dementia.

Mechanisms whereby body chemistry is altered by diet

Given the statistical findings that fat and total caloric supply are highly associated with both incidence and prevalence of AD, it is interesting to examine the literature on the effects of both fat and high caloric consumption on body chemistry. First, both fat and high total-caloric consumption lead to the presence of more free radicals in the body. Fat easily oxidizes to generate free radicals, and free radicals are a natural by product of nutrient processing in the mitochondria. While a certain amount of free radicals are useful in the body, too many, such as found in a high-fat, high-caloric-consumption diet, are harmful, since they lead to more rapid aging [e.g., Harman, 1994, 1995] and many CDDs [e.g., Harman, 1996]. Diets which produce an acid digestive system lead to the removal from the body of base

Table 6. Cross correlations between prevalence of dementia (primarily Alzheimer's disease, but includes other degenerative and hereditary CNS disorders) and other chronic degenerative diseases. The disease data are taken from Murray and Lopez [1996a].

| Disease | Male | | Female | | Association | Reference |
|--------------------------------|----------------|--------|----------------|--------|--|---|
| | r ² | p | r ² | p | | |
| Appendicitis | 0.43 | 0.076 | 0.73 | 0.007 | animal products | Grant [submitted] |
| Brain | | | | | | |
| Parkinson's disease | 0.88 | <0.001 | 0.94 | <0.001 | oxidative stress calories, animal fat | Fahn and Cohen [1992] Logroscino et al. [1996] |
| Schizophrenia* | 0.89 | 0.005 | 0.87 | 0.006 | fat | Christensen and Christensen [1988] |
| Stroke | 0.84 | 0.001 | 0.91 | <0.001 | salt, alcohol, animal fats | Carper [1993] Shinton et al. [1995] |
| Cancer | | | | | | |
| bladder | 0.83 | 0.002 | 0.91 | 0.003 | smoking | Weisburger [1990] |
| breast | | | 0.84 | 0.001 | fat animal fat | Wynder et al. [1986]; Carroll et al. [1987] Rose et al. [1986] |
| colorectal | 0.92 | <0.001 | 0.95 | <0.001 | animal fat calories, fat, low fiber | Rose et al. [1986] Reddy [1993] |
| corpus uteri | | | 0.81 | 0.002 | meat, fat, alcohol? | Carper [1993] |
| leukemia | 0.91 | <0.001 | 0.82 | 0.002 | calories | Hursting et al. [1993] |
| lymphomas and multiple myeloma | 0.76 | 0.005 | 0.72 | 0.008 | weakened immune system | Morra and Potts [1994] |
| melanoma | 0.8 | 0.003 | 0.85 | 0.001 | UV-B, fat | Carper [1993] |
| ovarian | | | 0.93 | <0.001 | fat | Calvert et al. [1987] |
| pancreatic | 0.89 | <0.001 | 0.94 | <0.001 | smoking, fat smoking, diet? | Weisburger [1990] Ahlgren [1996] |
| prostate | 0.66 | 0.014 | | | calories, fat animal fat | Surgeon General [1988] Rose et al. [1986] |
| trachea, bronchia, lung | 0.86 | <0.001 | 0.87 | <0.001 | smoking, fat | Zhao et al. [1991] |
| Diabetes mellitus (cases) | 0.46 | 0.066 | 0.67 | 0.015 | fat, calories, stress | Norris et al. [1995] |
| Heart | | | | | | |
| acute myocardial infarction | 0.56 | 0.034 | 0.63 | 0.019 | smoking, hypertension, obesity, saturated fats, salt | Norris et al. [1995] |
| angina pectoris | 0.52 | 0.043 | 0.49 | 0.055 | calories, smoking, stress | Norris et al. [1995] |
| congestive heart failure | 0.5 | 0.049 | 0.38 | 0.11 | sodium | Norris et al. [1995] |
| Rheumatoid arthritis | 0.6 | 0.024 | 0.95 | <0.001 | diet, fish oil** | Darlington and Gamlin [1996] |
| Stomach | | | | | | |
| peptic ulcer | 0.4 | 0.095 | 0.59 | 0.026 | infection, use of NSAIDs, pathologic hypersecretory states, alcohol?, tobacco?, aging | Norris et al. [1995] |

* missing data for Latin America and the Caribbean and the Middle Eastern crescent

** inverse association

cations or alkali metals, such as calcium, magnesium, and potassium, which try to neutralize the acid situation, as well as increased absorption of transition metal ions, which normally exist in the oxidized state. For example, diets high in proteins are known to cause both low absorption of dietary calcium and the leaching out of calcium from the bones [Lutz, 1984; Hegsted, 1986; Metz et al., 1993]. Acid drinks also deplete the body's store of base cations [Massey and Whiting, 1993]. Sodium chloride also reduces body base cations [Nordin et al., 1993]. Refined carbohydrates have similar effects [Wade, 1992]. For example, bread made

with white flour contains phytate which reduces the absorption of alkali metal ions [Reinhold, 1971; Wills, 1973]. Diets high in proteins, fats, and refined carbohydrates are generally low in fresh fruits and vegetables and complex carbohydrates, which are good sources of antioxidants such as vitamin C and selenium, as well as essential trace minerals [Ames et al., 1993]. Salonen et al. [1988] found an inverse correlation between blood pressure and serum antioxidants vitamins C and selenium. Tea contains antioxidants [Weisburger, 1996] and may be effective in lowering AD prevalence rates. However, the countries included in the meta-

analysis which have low AD prevalence generally have values consistent with other dietary factors, so no conclusion regarding the efficacy of tea can be reached.

Vegetarian diets generally lead to a higher potassium/sodium ratio than do omnivore diets [Rouse et al., 1984]. This leads to higher calcium retention [Lemann et al., 1993].

The acid digestive condition from such diets also promotes the absorption of transition metal ions (e.g., cobalt, iron, and mercury), which normally exist in an inert oxidized state, but can be dissolved in strong acids [Weberg and Berstad, 1986; Martin, 1994; Aisen and Davis, 1997]. Transition metal ions in the mitochondria can catalyze free radical reactions [e.g., Quagliano and Vallarino, 1969; Stadtman, 1992; Smith and Perry, 1995], thereby enhancing the production of free radicals from the fats and other foods ingested.

Trace minerals and Alzheimer's disease

There are many reports of the association of elevated levels of aluminum, transition metal atoms, as well as sodium and chloride in the brains of people who died with AD [Ehmann et al., 1986; Thompson et al., 1988; Wenstrup et al., 1990; Markesbery and Ehmann, 1994; Savory et al., 1996], while alkali metal ions tend to be in lower abundance [Thompson et al., 1988; Markesbery and Ehmann, 1994]. Table 7 gives a summary of the ratios of the trace elements in the autopsied brains of those with AD to the controls, averaged from several studies [Ehmann et al., 1986; Thompson et al., 1988; Wenstrup et al., 1990]. The data from the hippocampus were used from the Thompson et al. study. Four of the transition metal ions have ratios from 1.15 to 1.40, while mercury, a component of silver amalgam fillings, has a ratio of 1.85. Sodium from table salt has a ratio of 1.14, indicating that people with AD probably have diets elevated in salt compared to the rest of the population. The alkali metal ion ratios vary from 0.69 to 0.84, and are thus as much depressed as the transition metals are enhanced. While the functions of the alkali metals in normally-functioning brains is not understood, there is evidence that rubidium, for example, does play a role, due to its usual ratio with respect to other elements [Markesbery and Ehmann, 1994]. Such heavy ions can function as free-radical traps. The nonmetal, selenium, is relatively unchanged from people with AD to the controls. These results are quite consistent with the notion that a high-acid-forming, high-sodium diet depletes the body of essential trace minerals while increasing the absorption of transition metal ions, thus contributing to the risk of developing AD.

Oxidative stress and Alzheimer's disease

The diet-influenced body chemistries associated with the high-caloric, high-fat diets are themselves linked to the onset and progression of AD through oxidative stress. Reactive oxygen species (ROS), which include both oxygen-derived

Table 7. Ratios of trace metals in the brains of those who died with AD compared to controls.

| Element | Ratio |
|-------------------|-------|
| Transition metals | |
| Silver (Ag) | 1.4 |
| Cobalt (Co) | 1.4 |
| Iron (Fe) | 1.15 |
| Mercury (Hg) | 1.85 |
| Scandium (Sc) | 1.22 |
| Alkali metals | |
| Cesium (Cs) | 0.83 |
| Potassium (K) | 0.84 |
| Rubidium (Rb) | 0.69 |
| Sodium (Na) | 1.14 |
| Nonmetal | |
| Selenium (Se) | 0.98 |

free radicals and other oxygen compounds such as hydrogen peroxide, can be produced in the body by oxidation in the metabolism of food. Transition metals can reduce hydrogen peroxide to the highly reactive hydroxyl radical [Aisen and Davis, 1997]. ROS are especially abundant in diets high in overheated fats and oils often found in fried foods [Pitchford, 1993], as well as in high-caloric diets [Harman, 1995, 1996]. In addition, beta-amyloid peptide in the brain can generate free radicals [Butterfield, 1996; Smith et al., 1996a]. A number of aging processes involving ROS leading to AD and SD have been identified [Pearson and Shaw, 1982]: mutagenesis, leading to plaque formation in arteries; T-cell immune failure, leading to the development of atherosclerotic plaques by failing to destroy them; cross-linking of molecules in arterial walls, leading to hypertension or high blood pressure; abnormal clotting due to organic peroxide-induced deficiency of the natural anti-clotting hormone prostacyclin in the artery lining; strokes; and direct destruction of neurons [see, also, Butterfield, 1996; Hensley et al., 1996; Smith et al., 1996a]. The ROS can be neutralized in the body by antioxidants such as vitamins C and E, which, however, also have the potential to increase the amounts of reduced forms of transition metal ions, thereby increasing oxidative damage [Smith et al., 1996a]. Oxidative stress is also associated with Parkinson's disease [Logroscino et al., 1996], where both total caloric and fat consumption were shown to be highly correlated with Parkinson's disease in case studies. Advanced glycation end products (AGEs) can generate ROS, and are linked to the development of diabetic and other vascular diseases [Yan et al., 1994; Smith et al., 1996b; Finch and Cohen, 1997].

Inflammation

Inflammation also arises from prostaglandins derived from fatty acids [Erasmus, 1993]. Series 1 prostaglandins (PGE1), derived from linolenic acid with linoleic acid as the start-

ing point, decrease inflammation response. Series 2 prostaglandins (PGE₂), derived from arachidonic acid found in meats and other animal products, as well as from linoleic acid, lead to salt retention by the kidneys, leading to high blood pressure, and cause inflammation. Series 3 prostaglandins, derived from EPA found in fish oils, prevent arachidonic acid from being released from membranes, thus preventing PGE₂ formation. Thus, fats seem to play a major role in the development of inflammation. AGEs are also involved in inflammation in the brain [Yan et al., 1997]. NSAIDs, which reduce inflammation, are effective in reducing the risk of developing AD [Aisen and Davis, 1997; Stewart et al., 1997].

Causality

High correlations do not themselves necessarily imply causality; other confounding factors may be involved which are not included in the analysis. Hill [1965] laid out the criteria by which the claim of causality can be evaluated in biological systems. These criteria include strength of association, consistency, specificity, temporality, biological gradient, plausibility, coherence, experiment, and analogy. For causality to exist, not all of the criteria have to be satisfied. However, the more that are satisfied, the more likely that causality exists. Renton [1994] reviewed the relation between epidemiological studies and public health policy decisions and concluded that epidemiological studies must be integrated with biological science.

The primary criteria for causality are listed and evaluated for high-fat-, high-total-caloric-consumption diets as the primary cause of sporadic AD and SD. By primary cause, it is meant that the more fat or calories are ingested, the greater the risk of developing AD and SD, and that the risk from diet is greater than the risk from other factors. It is acknowledged that genetics plays a role in determining who develops AD and SD, that of interacting with environmental factors.

Strength of association

The stronger the association, the greater the case for causality. Both fat and total caloric supply have very high associations ($r^2 = 0.932$ and 0.880 , respectively).

Consistency of association

The case for causality is increased if similar results are found among many populations. The results shown in Figures 1 and 2 show that AD prevalence is based primarily on fat and caloric supply, with results from 11 countries on four continents, and span the latitude range from 60°N to 1°N.

Temporal relationship

The cause should precede the effect. Both of the analyses of the interval between the study and the dietary factors indicate that the highest correlations occur for an interval 3-5 years prior to the study.

Dose-response relationship

There should be a linear dose-response relationship for low doses. The regression relationships shown in Figures 1 and 2 are linear.

Biological plausibility

The relationship should be consistent with the known laws of biology, chemistry, and physics. It has been shown that the epidemiological associations with diet are matched by the mechanisms of how the diet affects body chemistry in increasing the oxidative stress on the body and, in turn, how increases in oxidative stress lead to changes in the brain associated with AD.

Coherence of the association with other known facts

Increased oxidative stress has been hypothesized as the cause of AD [Harman, 1995; Smith et al., 1997b], and is associated with a large variety of CDDs [Harman, 1996]. Table 5 shows that the prevalence of AD and many other CDDs is highly correlated throughout the world. Other studies discussed in this paper report that the risk of developing AD can be affected by diet [Kalmijn et al., 1997] and dietary supplements [Sano et al., 1997] related to oxidative stress. The findings that clinical expression of AD is strongly enhanced by strokes [Hulette et al., 1997; Snowdon et al., 1997] and that risk of developing dementia after ischemic strokes is increased [Kokmen et al., 1996b] are consistent with the oxidative-stress hypothesis. Note that dementia and stroke seem to be interrelated, as development of dementia after stroke increases the risk of stroke recurrence [Moroney et al., 1997]. The results of this study are also consistent with the role of fats in causing inflammation and in fish and fish oil in reducing inflammation. There is no basic conflict between the findings about diet in this paper and many other studies of risk factors for AD since they did not investigate the dietary aspects of the populations investigated [e.g., the review in Katzman and Kawas, 1994].

Experimental manipulation

The strength of the case for causality is increased if experiments can be performed in which increasing the dose increases the response and decreasing the dose decreases the response. The vitamin E [Sano et al., 1997], linoleic acid and fish [Kalmijn et al., 1997] consumption studies, and the NSAIDs study [Stewart et al., 1997] are important steps in this direction. Additional such studies are to be encouraged.

Analogy

Other CDDs have been shown to be caused by or associated with high fat or total caloric consumption (see Table 6). Most CDDs seem to have a dietary cause according to the Burden of Disease study [Murray and Lopez, 1996a,b].

Summary on causality

In summary, most of the tests generally applied to determine whether an association is a causal one seem to be satisfied, thereby strongly supporting and quantifying the hypothesis that fat consumption, with assistance from total caloric consumption, is the primary cause of AD. However, while much additional research is required to confirm this conclusion and develop dietary and supplements guidelines for the prevention and treatment of Alzheimer's disease, the information contained herein should be useful in these regards.

Prevention of Alzheimer's disease

There is strong evidence that the incidence and prevalence of AD is affected by diet, with high risk factors found to include alcohol, fat, refined carbohydrates, salt, and total caloric consumption, and preventative factors found to include antioxidants, essential trace minerals, estrogen for post-menopausal women, fish and fish oil, and anti-inflammatory therapeutic agents. In addition, since exercise has been found to reduce the incidence of stroke for men (no corresponding effect was found for women) [Kiely et al., 1994], exercise should also reduce the risk of developing AD. Thus, healthy diets should be considered the first line of defense against both the development and progression of AD, as well as all other chronic degenerative diseases. The finding that the highest correlation between diet and AD incidence and prevalence is found 3-5 years before the study period suggests that diet modifications late in life can still affect the risk of developing AD.

In addition to the analysis on how to combat oxidative stress caused by diet by Harman [1996] there are other popular books on recommended diets to prevent chronic degenerative disease [e.g., Kronhausen and Kronhausen, 1989; Ornish et al., 1990; Robbins, 1992; Ames et al., 1993; Kushi and Jack, 1993; Moore, 1993; Barnard, 1995; Sciallo and Wade, 1995; Carper, 1996; Harman, 1996] and on dietary supplements [Balch and Balch, 1997]. Despite the fact that these references were aimed at different conditions, the recommendations in them have much in common and are generally consistent with the findings of the meta-analysis presented here.

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